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10/564,525

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EXAMINER

UNDERDAHL, THANE E

ART UNIT

PAPER NUMBER

1651

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DELIVERY MODE

05/03/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | | |
|------------------------------|------------------------|--|---------------------|--|
| Office Action Summary | Application No. | | Applicant(s) | |
| | 10/564,525 | | TANGNI ET AL. | |
| | Examiner | | Art Unit | |
| | Thane Underdahl | | 1651 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 23 June 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-21 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-21 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 13 January 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date <u>1/13/06</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 112

Claims 1-21 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1 contains the phrase "A biological process for decontaminating mycotoxins in a liquid dietary medium" comprising the steps of "adsorbing at least part of the mycotoxins" onto insoluble plant fibers. It is unclear if the "absorbing at least a part of the mycotoxins" refers to a physical piece of an individual mycotoxin or absorbing a population of mycotoxins. Also claim 1 contains the phrase "likely to be present" this phrase renders the claim indefinite since it appears to describe optional steps and "Claim scope is not limited by claim language that suggests or makes optional but does not require steps to be performed" (M.P.E.P. § 2111.04). In the interest of compact prosecution, the claim will read "A biological process that comprises the following steps: Absorbing the mycotoxins from liquid dietary medium (**LDM**) by contacting the LDM with micronized insoluble plant fibers and removing said fibers on which the mycotoxins are absorbed"

Claims 2-4 and 12 are in improper Markush form; a Markush group should be in the form "an agent selected from the group consisting of A, B, **and** C". Currently, it is not clear which species are included in the Markush group and which are not. In the interest of compact prosecution, the lists limited in these claims will be considered alternatives (i.e. fibers derived from dietary plants or paper industry)

Claim 13 is also indefinite since it uses the phrase "where appropriate, matured". It appears that this step is optional and as such is indefinite since for the reasons applied to claim 1 above (M.P.E.P. § 2111.04). Clarification is required.

Also claim 14 is indefinite for using the phrase contacting the liquid dietary medium with "plant fibers, on the one hand, and, on the other hand" removing said fibers. It is unclear if the claim is requiring actually physical contact between the experimenter's hand and the plant fibers. Clarification is required.

Claim 20 also recites the limitation "wort" and "fermentation" in the method of claim 13. There is insufficient antecedent basis for this limitation in the claim. Claim 13 does not mention "wort" or "fermentation" in itself or in its related claims. Clarification is required.

Claim 21 is indefinite because it recites a rate with the units of "% by weight". Since there is not a time constant associated with "% by weight" it is unclear how this is a limitation to the rate at which the plant fibers are introduced. Clarification is required.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1-15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshihide et al. (EP 0124891 A2, 1984) and Sasaki et al. (U.S. Patent # 4,770,880,

1988) with support from Davids (CoffeeReview, 2000) and Huwig et al. (Toxicology Letteres, 2001) and Kada et al. (Mutation Research, 1984).

These claims are drawn to a biological process that comprises the following steps: Absorbing the mycotoxins from liquid dietary medium (**LDM**) by contacting the LDM with micronized insoluble plant fibers and removing said fibers on which the mycotoxins are absorbed. These insoluble plant fibers are derived from dietary plants selected from cereals, leguminosae, culinary plants, fruits or plants derived from the paper industry such as trees, sugarcane, bamboo, or cereal straw. The cereals may be from wheat, barley, oat, corn, millet, rice, rye, sorghum fiber or the malted equivalents of any grain from this list. The fibers may be from apples, pears, grape berries, lupin, soya bean seeds, tomatoes, peas and coffee. Claim 5 limits that the fibers be microparticles, of which 90% of the total mass of the microparticles are less than or equal to 700 μm in size. Claim 6 further limits that 90% of the microparticles are 200 μm in size. Claim 7 limits that the preliminary step of the method hydrates the fibers. Claim 8 limits that the plant fibers added during the method are 0.1 to 20% by weight per liter of LDM. Claim 9 limits that the LDM is brought into contact with the fibers for a period ranging from a few seconds to 90 minutes. The pH of the LDM contacting the plant fibers is between 1.5 and 7 and the temperature during contact is maintained between 7 and 80 $^{\circ}\text{C}$. The LDM is selected from beer, mixtures of malt and water and mash of the brewing process, wine, coffee, fruit juices, milk, and glucose syrups. The fibers are removed by filtration at the end of the period of contact. Claims 14 and 15 further limit that the LDM

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is contacted with the fibers and the fibers are removed by filtration. Also the plant fibers from an integral part of the filtration system.

Yoshihide et al. teach a method to remove mycotoxins such as aflatoxin (Yoshihide et al., page 2, line 5) from LDM such as coffee, tea and fermented products such as bourbon whiskey (page 4, lines 12-15) using micronized insoluble plant fibers (Yoshihide et al., page 16 line 28-30) that are at least less than 0.45 μm in size (Yoshihide et al., page 17, lines 1-5). These fibers inherently absorb mycotoxins as supported by Kada et al. and Huwig et al. (see abstracts of both articles). These fibers are obtained from cereal grains such as wheat, barley malt, rice and soya beans (soybeans). The fibers are hydrated prior to the addition to the LDM (Yoshihide et al., page 11, lines 24-26). And 0.05 to 5 mg of fiber is added to 1mL of LDM or 5% to 500% by weight of fiber per liter of LDM (Yoshihide et al., page 10, lines 19-23). The fibers are mixed with the LDM for 20 minutes (Yoshihide et al., page 14, lines 13-15) at the temperature is maintained at 70 °C. Since the fibers are mixed directly with LDM like coffee, which has a typical pH range of 4.5 to 5 (as supported by Davids, page 1, 2nd paragraph), Yoshihide inherently meets the pH limitation of claim 10, which is a pH between 1.5 to 7.

What Yoshihide et al. do not teach is the removal of the fiber by filtration. Regardless this would be obvious to one of ordinary skill in the art by the time the invention was made in view of the teachings of Sasaki et al. They teach using powder of fiber rich vegetables such as bamboo sprout, cabbage, spinach, soybean malt and paper products as cellulose to (Sasaki et al. col 2, lines 15-30) to remove mutagenic

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substances from food (Sasaki et al. col 2, lines 37-40). One of ordinary skill in the art would recognize that these are the same or similar fibrous vegetables used by Yoshihide et al., Huwig et al. and Kada et al. to remove mycotoxins. Since mycotoxins are mutagenic, it would be obvious to use the teachings of Sasaki et al. with those of Yoshihide et al. since they too remove mutagenic substances. It would have been obvious to someone skilled in the art to isolate mycotoxins from LDM using plant fibers. The motivation to isolate the plant fibers from the LDM after they have absorbed mycotoxins comes from Sasaki et al. who teach that use of plant fibers to remove mutagenic substances from food. Since mycotoxins are mutagenic substances and Huwig et al. and Kada et al. and Yoshihide et al. teach that mycotoxins can be removed using the same or similar plant fibers as Sasaki et al. they provide the reasonable expectation of success. Therefore, it claims 1-12 are prima facie obvious over the combined references of Yoshihide et al. and Sasaki et al.

While neither reference explicitly teaches the remove of the insoluble plant fibers by filtration at the end of the period of contact with the LBM. However it would have been obvious to someone skilled in the art to remove the fiber via filtration since the skilled artisan would recognize that removing insoluble material by filtration is a common and efficient practice. Furthermore, Yoshihide et al. show that the fibers can be isolated by a 0.45 μ M filter (Yoshihide, page 17, lines 1-5) which provides the reasonable expectation of success.

Also claim 14 and 15 are prima facie obvious over Yoshihide et al. and Sasaki et al. since these two claims deal with making the process of removing mycotoxins with

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plant fibers continuous and make the fibers integral with the filtration system. M.P.E.P. § 2144.04 V B and E state that is prima facie obvious to make a process integral or continuous absent any showing insight that is contrary to the understandings and expectations of the art.

Therefore, the invention as a whole would have been prima facie obvious at the time of filing in view of the references listed above and as such claims 1-15 are not allowable.

Claims 1-21 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshihide et al. and Sasaki et al. as applied to claims 1-15 above, and further in view of Boeira et al. (J. Appl. Micro, 2000).

The description and rejection of claims 1-15 are described above in the 35 U.S.C 103(a) rejection over the teachings of Yoshihide et al. and Sasaki et al. Claims 16-21 are drawn to a brewing process where the mycotoxins are absorbed via the method of claim 1. The absorption can take place simultaneously with the mashing step, after the fermentation step or maturing the wort. The fibers that are brought into contact with the brewing process used to absorb the mycotoxins are removed by filtering. The amount of fibers used in the process are 0.5 to 20% by weight of the malt. Claim 21 limits that the plant fibers are introduced into the fermented wort at a rate of 0.05 to 5% by weight based on the total weight of the wort.

As stated in the 35 U.S.C § 103 rejection above Yoshihide et al. and Sasaki et al. teach that it is prima facie obvious to add 5% to 500% by weight of fiber per liter of the

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liquid such as fermented beverages such as malt (page 10, lines 19-23). And that it is prima facie obvious to filter out the fibers to isolate the mycotoxins from the beverage. While Yoshihide et al. and Sasaki et al. teach the method of claim 1, they do not teach it for a brewing or fermentation process. Regardless this would be obvious to one of ordinary skill in the art by the time the invention was made in view of the teachings of Boeira et al.

Boeira et al. teach that mycotoxins are transferred from grains to wort to beer in a fermentation process (Boeira et al. page 388 col 2 paragraph 3 to page 389 col 1 paragraph 1). Boeira et al. also teach that mycotoxins in food and feed are toxic and hazardous to humans and animals (Boeira et al. page 389, col 1, paragraph 2). It would have been obvious to someone skilled in the art to use the teachings of Yoshihide et al. and Sasaki et al. and use insoluble plant fibers to remove mycotoxins during each of the following steps: during the fermentation process, when the grain is made into wort, when wort is fermented and after the fermented product is made into beer since Boeira et al. teach that at each of these steps mycotoxins can be found. Furthermore Boeira adds further motivation to remove mycotoxins from the fermentation process since mycotoxins inhibit the growth of yeast (Boeira et al. see abstract) which one of ordinary skill in the art would recognize is a key element to fermentation. The reasonable expectation of success is provided by Yoshihide et al. and Sasaki et al. who successfully teach the removal of mycotoxins from other fermented beverages such as bourbon whisky (Yoshihide et al., page 4, line 13).

While neither teach the rate at which the fibers are introduced into the fermented wort. However the rate at which a substrate is introduced into a continuous process is a result effective variable that would be recognized by one of ordinary skill in the art. Absent any teaching of criticality by the applicant concerning the rate listed in claim 21 it would be *prima facie* obvious that one of ordinary skill would meet the rate limitation of claim 21 by routine optimization (M.P.E.P. § 2144.05 II).

Therefore, the invention as a whole would have been *prima facie* obvious at the time of filing in view of the references listed above and as such claims 1-21 are not allowable.

In summary no claims, as written, are allowed for this application.

In response to this office action the applicant should specifically point out the support for any amendments made to the disclosure, including the claims (MPEP 714.02 and 2163.06). Due to the procedure outlined in MPEP § 2163.06 for interpreting claims, it is noted that other art may be applicable under 35 U.S.C. § 102 or 35 U.S.C. § 103(a) once the aforementioned issue(s) is/are addressed.

Applicant is requested to provide a list of all copending U.S. applications that set forth similar subject matter to the present claims. A copy of such copending claims is requested in response to this Office action.

CONTACT INFORMATION

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thane Underdahl whose telephone number is (571)

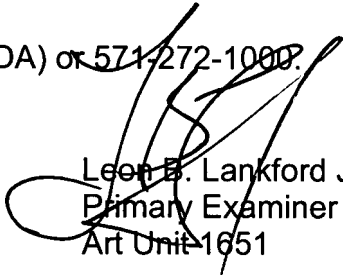
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272-9042. The examiner can normally be reached during regular business hours, 8:00 to 17:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Wityshyn can be reached at (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Thane Underdahl
Art Unit 1651



Leon B. Lankford Jr
Primary Examiner
Art Unit 1651